



APPLICATION FORM

DISCRETIONARY PORTFOLIO SERVICE/
ADVISORY PORTFOLIO SERVICE

CHARITY
.....



QUILTER CHEVIOT
INVESTMENT MANAGEMENT

Vision | INDEPENDENT
FINANCIAL
PLANNING

Please complete this form to provide us with as much information as you can to open an account with us. It is important that all the questions are answered, as incomplete forms will delay the opening of your account.

The more information you share, the more easily we will be able to match our investment service to your requirements.

If you do not understand anything in these documents, please ask your investment manager for further information and guidance. Please inform us immediately if your circumstances change as this may affect the suitability of any investment decision or recommendation we make.

SERVICE CATEGORY

Please tick the category of service required:

Discretionary Portfolio Service (DPS)

This is our discretionary investment management service where, depending on any restriction you choose to apply, your investment manager has full authority to manage your investments without prior reference to you.

Advisory Portfolio Service (APS)

This is our advisory service where, depending on any restrictions you choose to apply, we will monitor your portfolio and, when we think it appropriate, contact you with recommendations on investments. However, transactions will only be carried out with your permission.

The advice that we offer can either be based on recommendations generated from our investment process (**Advisory Managed**), or we can take into account investment matters that are outside our investment process (**Specialist Advisory**). Your investment manager can suggest which of these services best suits your requirements and will inform you if your investment decisions or requirements mean that the advisory service that we offer you needs to be redefined between these services.

Legal Entity Identifier (LEI) Number:

Please complete Part A, B, C and D and sign the acceptance.

PART A:

ACCOUNT NAME

DETAILS OF THE REGISTERED CHARITY

If the charity is not registered, please complete this section in accordance with the charities' legal form i.e. company or trust.

Full name:

Charity registered number:

Registered address:

Postcode:

Charity HMRC Repayment Reference Number:

Charity legal structure e.g. trust, limited company, CIO:

Principal purpose:

For unregistered charities or charities in Northern Ireland, please provide a brief description of the beneficiaries or classes of beneficiaries:

Location of activities, if outside the UK:

Are there any overseas offices and/or officers?

Yes No

Approximately what percentage of the charity's total assets will this portfolio represent?

%

Is the charity associated with a politically exposed person now or has been at any time in the past?

*Yes No

*If yes, please provide details:

PRINCIPAL CORRESPONDENCE ADDRESS

Name:

Address:

Postcode:

Email:

Mobile telephone number:

We will send you an investment report that includes a valuation, performance summary, transaction schedule, capital and income statements. We will also provide you with online access to our portal.

ASSOCIATED PARTIES

We are required to verify the identity of all trustees, protectors, settlors, vested beneficiaries and any other authorised signatories or agents.

For UK resident individuals, we will use the following information to perform electronic identification checks. **For overseas residents, or where the electronic identification check fails, we will ask you for certified copies of identity documentation.**

If there are more than four associated parties and you require further space, please photocopy this page and complete the relevant details.

First Associated Party

Title:

Surname:

Previous surname if changed, or any alias that has ever been used:

Forename(s):

Permanent residential address (we are unable to accept a 'care of' or post box):

Postcode:

Previous residential address, if less than 1 year at current address (we are unable to accept a 'care of' or post box):

Postcode:

Date of birth:

DD

MM

YYYY

Email:

Mobile telephone number:

National Insurance number:

Nationality (if you hold dual nationality please give both countries):

Country of birth:

Please provide the following details:

Full passport reference (this is at the very bottom of the photo page):

Date of expiry:

Alternatively, if you are a UK or Irish national (and do not hold dual nationality) we can accept details of your driving licence:

Driving licence number:

Date of expiry - photocard:

Each associated party will receive online access to our portal once the account is opened.

Second Associated Party

Title:

Surname:

Previous surname if changed, or any alias that has ever been used:

Forename(s):

Permanent residential address (we are unable to accept a 'care of' or post box):

Postcode:

Previous residential address, if less than 1 year at current address (we are unable to accept a 'care of' or post box):

Postcode:

Date of birth:

Email:

Mobile telephone number:

National Insurance number:

Nationality (if you hold dual nationality please give both countries):

Country of birth:

Please provide the following details:

Full passport reference (this is at the very bottom of the photo page):

Date of expiry:

Alternatively, if you are a UK or Irish national (and do not hold dual nationality) we can accept details of your driving licence:

Driving licence number:

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|--|--|---|--|--|---|--|--|--|--|--|--|---|
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Date of expiry - photocard:

| | | |
|----|----|------|
| DD | MM | YYYY |
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Each associated party will receive online access to our portal once the account is opened.

Third Associated Party

Title:

Surname:

Previous surname if changed, or any alias that has ever been used:

Forename(s):

Permanent residential address (we are unable to accept a 'care of' or post box):

Postcode:

Previous residential address, if less than 1 year at current address (we are unable to accept a 'care of' or post box):

Postcode:

Date of birth:

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Email:

Mobile telephone number:

National Insurance number:

| | | | | | | | | | | |
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Nationality (if you hold dual nationality please give both countries):

Country of birth:

Please provide the following details:

Full passport reference (this is at the very bottom of the photo page):

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Date of expiry:

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Alternatively, if you are a UK or Irish national (and do not hold dual nationality) we can accept details of your driving licence:

Driving licence number:

| | | | | | | | | | |
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Date of expiry - photocard:

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Each associated party will receive online access to our portal once the account is opened.

Fourth Associated Party

Title:

Surname:

Previous surname if changed, or any alias that has ever been used:

Forename(s):

Permanent residential address (we are unable to accept a 'care of' or post box):

Postcode:

Previous residential address, if less than 1 year at current address (we are unable to accept a 'care of' or post box):

Postcode:

Date of birth:

DD MM YYYY

Email:

Mobile telephone number:

National Insurance number:

| | / | | | | | / |

Nationality (if you hold dual nationality please give both countries):

Country of birth:

Please provide the following details:

Full passport reference (this is at the very bottom of the photo page):

| | | | | | | | / | | /

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Date of expiry:

DD MM YYYY

Alternatively, if you are a UK or Irish national (and do not hold dual nationality) we can accept details of your driving licence:

Driving licence number:

| | | | | / | | | | | /

| | / |

Date of expiry - photocard:

DD MM YYYY

Each associated party will receive online access to our portal once the account is opened.

OUTSIDE BUSINESS INTERESTS

The following questions must be completed in respect of ALL associated parties identified in this form (and any outlined on additional sheets).

Are any of the individuals listed in this form (or have any of the individuals listed ever been), a US citizen, the holder of a US passport, Green Card or US bank account; or have they ever resided in or owned property in the US?

*Yes No

*If you have answered yes, please state the name of the individual(s) below and provide details;

Have any of the individuals in this form ever been employed in the financial services industry?

Yes No

Are any of the individuals in this form a politically exposed person or associated with one now or at any time in the past?

*Yes No

*If you have answered yes to any of the * questions above, please provide the name of the individual(s) concerned and provide details of the political association.

INVESTMENT EXPERIENCE

Your answers to these questions will enable us to determine your familiarity with particular types of services and investment matters relevant to our services, and the associated risks. Please refer to the risk warnings outlined in Annex 1 of our terms and conditions booklet (or on our website <https://www.quiltercheviot.com/uk/charities/risk-factors/>), for further explanation.

Please indicate the level of experience and understanding you have of investment matters by providing the following information.

For trustees and authorised signatories, please give the following information, based on your collective experience.

Relevant Experience

Please indicate how long you have previously held an investment portfolio and the type of services you received:

This is a first time investment

| | Discretionary Service | Advisory Service | Execution Only |
|---------------|--------------------------|--------------------------|--------------------------|
| Under 5 Years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 5 to 10 Years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Over 10 Years | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

If Discretionary, did the service you used provide a central model? Yes No Unsure

Relevant Investments

Please tick which of the following investments you consider yourself to be familiar with from your previous investment experience:

- Government/Corporate bonds
- Quoted equities
- Unit Trusts/Open Ended Investment Companies or SICAV
- Venture Capital/Private equity
- Structured products
- Unquoted investments
- Unregulated collective investments
- Unregulated hedge funds

Do you have experience using derivatives (including options) warrants, leveraged or unregulated investment products?

Yes No

If yes, please give average value, frequency, period and reason (e.g. hedging or speculation):

Please indicate any relevant education:

For **Advisory and Execution Only services**, please indicate the average value, frequency and period for your transactions:

in Government bonds, corporate bonds, quoted equities, unit trusts, OEICs or SICAV funds

Size of transaction:

- Under £1,000
- £1,000-£9,999
- £10,000-£49,999
- £50,000 and over

Frequency of transaction:

- Weekly
- Monthly or 12 per year
- Less than 12 transactions per year
- No transactions

in venture capital trusts, private equity, structured products, unquoted investments, unregulated investments or unregulated hedge funds

Size of transaction:

- Under £1,000
- £1,000-£9,999
- £10,000-£49,999
- £50,000 and over

Frequency of transaction:

- Weekly
- Monthly or 12 per year
- Less than 12 transactions per year
- No transactions

PART B: FINANCIAL INFORMATION AND FUTURE REQUIREMENTS

What type of fund does this portfolio represent? e.g. endowment, reserves, special purchase. Please give details.

Please indicate whether:

the aggregate value of the cash and investments which form part of the charity's assets (before deducting the amount of its liabilities) is £10 million or more:

Yes No

Or

the aggregate value has been £10 million or more at any time during the previous 2 years:

Yes No

Please indicate below the timing and extent of future commitments to be funded from the charity's investment portfolio:

Please indicate whether the charity is likely to have further sums available for investment in the near future, giving approximate dates and amounts:

PART C: INVESTMENT STRATEGY

The following questions will help us recommend and implement a suitable long-term investment strategy.

It is important that you keep us informed of any changes to your circumstances or objectives so we can review the strategy.

YOUR INVESTMENT OBJECTIVE

Please select one of the following as your main objective:

- Capital Growth – the principal objective is to grow the capital value of the portfolio.
- Capital Growth and Income – the objective is to grow the capital value of the portfolio, as well as generating some degree of income from the portfolio.
- Income – the principal objective is to generate income from the portfolio.

UNDERSTANDING RISK

Obtaining an investment return higher than cash deposits will involve taking risk. To meet your longer-term objectives, you may have to be prepared to take on a higher level of risk than you have historically.

The key risks of our services are outlined here: <https://www.quiltercheviot.com/uk/charities/risk-factors/>. Risk associated with investments can take many different forms, including:

- The sensitivity to various market events or economic factors, including changes to interest rates and inflation
- The chance of irregular or unusual investment returns, particularly in times of economical crisis
- The likelihood of temporary or permanent loss of capital or income
- The possible lack of liquidity, meaning that in certain market circumstances, it might not be possible to sell a particular investment.

Completing the information and questions in this section will help us assess your risk profile. We have divided the questions into two parts:

- Willingness to accept risk in the portfolio – this is sometimes called your Risk Tolerance
- Your ability to bear loss – this is sometimes referred to as your Risk Capacity and is a function of the charity's broader financial circumstances.

YOUR WILLINGNESS TO ACCEPT RISK

The Quilter Cheviot ‘Understanding Your Investment Portfolio’ document must be read for further guidance.

Please select the risk category that most closely matches your tolerance to risk and minimum time period for the investment portfolio we will be managing for you. Please tick **one** box only. The tables below provide guidance on the level of equities that would be involved and the range of returns that can be experienced in each of the risk categories.

| | | | | |
|--|--|---|---|--|
| <p>None</p> <p>TICK</p> <input type="checkbox"/> | <p>Estimated range of annualised return*</p> <p>0%</p> | <p>We have no tolerance for risk and regardless of market circumstances, We would not be comfortable with any variation or disruption to capital value or current income</p> | | |
| <p>Lower</p> <p>TICK</p> <input type="checkbox"/> | <p>Estimated range of annualised return*</p> | <p>We have a lower tolerance for risk and regardless of market circumstances, We would only be comfortable with minimal variation or disruption to capital value or current income</p> <p>Typical equity weighting - up to 25%</p> | <p>Suggested minimum investment period</p> <p>1 year</p> | <p>Estimated maximum peak-to-trough decline across investment period*</p> |
| <p>Lower to Medium</p> <p>TICK</p> <input type="checkbox"/> | <p>Estimated range of annualised return*</p> | <p>We have a lower to medium tolerance for risk, We would only be comfortable with modest variation or disruption to capital value or current income</p> <p>Typical equity weighting - up to 50%</p> | <p>Suggested minimum investment period</p> <p>3 years</p> | <p>Estimated maximum peak-to-trough decline across investment period*</p> |
| <p>Medium</p> <p>TICK</p> <input type="checkbox"/> | <p>Estimated range of annualised return*</p> | <p>We have a medium tolerance for risk and can accept modest variation or disruption to capital value or current income in order to meet our longer-term objectives</p> <p>Typical equity weighting - up to 75%</p> | <p>Suggested minimum investment period</p> <p>5 years</p> | <p>Estimated maximum peak-to-trough decline across investment period*</p> |
| <p>Medium to Higher</p> <p>TICK</p> <input type="checkbox"/> | <p>Estimated range of annualised return*</p> | <p>We have a medium to higher tolerance for risk and can accept considerable variation or disruption to capital value or current income in order to meet our longer-term objectives</p> <p>Typical equity weighting - up to 100%</p> | <p>Suggested minimum investment period</p> <p>5 years</p> | <p>Estimated maximum peak-to-trough decline across investment period*</p> |
| <p>Higher</p> <p>TICK</p> <input type="checkbox"/> | <p>Special situations apply to specialist investment instructions where the range and concentration of riskier assets could be significantly increased</p> | <p>We have a higher tolerance for risk and can accept considerable variation or disruption to capital value or current income in order to meet our longer-term objectives</p> <p>Typical equity weighting - up to 100%</p> | <p>Suggested minimum investment period</p> <p>7 years</p> | <p>Estimated maximum peak-to-trough decline across investment period*</p> <p>Greater than -45%</p> |

* Source: Quilter Cheviot. These figures are for illustrative purposes and represent estimated pattern of return for each risk profile. Past performance is not indicative of future performance and actual performance may vary.

YOUR ABILITY TO BEAR LOSS

It is important that we are trying to ascertain your ability to bear investment losses, in the broader context of your overall current financial situation and standard of living.

Please select one of the following which most closely matches your circumstances:

- The charity has NO ability to bear investment losses. Any losses to the value of the portfolio would have an unacceptable impact on the charity’s overall financial position and commitments.**
- The charity has a LOW ability to bear investment losses. In extreme circumstances, falls in the value of the portfolio of up to 20% would not have a material impact on the charity’s overall financial position and commitments.**
- The charity has a MODERATE ability to bear investment losses. In extreme circumstances, falls in the value of the portfolio of up to 35% would not have a material impact on the charity’s overall financial position and commitments.**
- The charity has a SIGNIFICANT ability to bear investment losses. In extreme circumstances, falls in the value of the portfolio in excess of 35% would not have a material impact on the charity’s overall financial position and commitments.**

INVESTMENT RESTRICTIONS

Do you wish to exclude certain investments and/or asset classes for tax or ethical reasons from your portfolio?

Yes No

If yes, please contact us for our Investment Restrictions supplement.

POTENTIAL RISK TO YOUR INCOME

Are you intending to withdraw income from this portfolio within the timeframe of your selected risk category?

Yes No

If yes, please select one option that most closely matches your circumstances:

- The withdrawals from this portfolio are the primary source of income for essential charitable expenditure.**
- The withdrawals from this portfolio will supplement the primary source of income for essential charitable expenditure.**
- The withdrawals will provide income for non-essential expenditure.**
- This portfolio represents our charitable reserves and therefore ordinarily the income is surplus to commitments.**

FUNDS FOR INVESTMENT

Please indicate amount to be invested in this portfolio:

£

Please describe the source or origin of the funds being invested with Quilter Cheviot. Please note that we may ask for evidence of source of funds in some cases.

If significant additions or withdrawals are anticipated, please inform your investment manager as this may affect the way in which we manage the portfolio.

PART D: ACCOUNT SET-UP

INCOME INSTRUCTIONS

Please note that we can only set up the income payment facility in sterling. Please tick **one** box only from the list below to indicate how you would like us to deal with income generated within the portfolio:

- Transfer to capital account for re-investment
- Monthly payments of income received
- Quarterly payments of income received
- Quarterly fixed standing order*
- Retain in your Quilter Cheviot income account
- Monthly fixed standing order*

*If you have selected a fixed payment please complete the amount field(s) in the next section.

BANK/BUILDING SOCIETY DETAILS

Bank or building society account details are required even if no income is being withdrawn. We can also hold details of additional bank or building society accounts if other payments are anticipated. If this includes HMRC details for tax payments, please include your unique tax payer reference in the account name line. Standing orders for different amounts to different banks or building societies can be arranged if the payment frequency is the same.

Account name:

Sort code:

 / /

Account number:

Building society reference:

* Payment by standing order (if applicable):

Account name:

Sort code:

 / /

Account number:

Building society reference:

* Payment by standing order (if applicable):

Account name:

Sort code:

 / /

Account number:

Building society reference:

* Payment by standing order (if applicable):

*** If the standing order exceeds the income generated from the portfolio, the capital value will be depleted. Your investment manager will discuss this with you. Payments or asset transfers from your account to third parties are only permitted in limited circumstances. Generally any withdrawals of cash or assets will only be made to a bank or investment account in your own name.**

DETAILS OF AUDITOR/ACCOUNTANTS

Name:

Address:

Postcode:

Email:

Telephone:

We will share information relevant to your account with your appointed auditor/accountant. If you do not consent, please tick this box.

ANNUAL TAX REPORT

Date of financial year end:

Annual tax report to be sent to:

- Principal correspondence address
- Auditor's/accountant's address

If to another associated party, please give details:

PROFESSIONAL ADVISER

If you have an appointed adviser, please give their details below.

Name:

Name of organisation:

FCA reference number (if applicable):

Address:

Postcode:

Telephone number:

Adviser email address (if known):

Please note that it is our standard practice to grant online access to the organisation named above so that your financial adviser can view your portfolio(s) via our online portal. Such access may be granted to any individual adviser named above as well as other staff at their organisation who assist in the provision of their service to you so may include support and administrative staff and/or other regulated advisers. If you have any questions about this please contact your Investment Manager.

Please tick if you would like your adviser to receive the following:

- Investment reports, valuations and transaction reports
- Annual tax report

ADDITIONAL AML REQUIREMENTS FOR THE CHARITY

Please note that for requirements marked * verification of identity for individuals will be performed electronically using the information provided on pages 2 to 4. For overseas residents, or where the identity check fails, we will ask you for certified copies of identity documentation.

Registered charity in England/Wales/Scotland - Full charity report from the relevant Charities Commission website (to include lists of trustees and classes of beneficiaries);

Or

Charity in Northern Ireland - money laundering documentation in accordance with the charity’s legal form (e.g. trust, company etc) to include HMRC notification of charitable status;

Or

Unregistered charity - money laundering documentation in accordance with the charity’s legal form (e.g. trust, company etc);

And

1. Trustees’ Minute to evidence appointment of Quilter Cheviot and the authority of those operating the account;
2. Authorised signatory list;
3. Verification of the identity of the those operating the account by enclosing either passport or driving licence and utility bill or bank statement;
4. For charities with officers or operations in overseas locations, or an unlimited geographical remit, or that expect to make transfers to locations outside the geographical areas of its operations, the following are **also required**:

- Certified copy of the constitution or governing document; and
- *Verification of the identity of the remaining trustees, who should provide either passport or driving licence and utility bill or bank statement

CERTIFICATION REQUIREMENTS

Where we require copies of documents, please send copies certified by:

- Quilter Cheviot staff (in the ordinary course of business)
- Notary Public
- Commissioner for Oaths
- UK/overseas solicitor
- UK barrister (**not** judge)
- UK licensed conveyancer
- UK/overseas certified or chartered accountant
- Banker
- Authorised financial adviser
- Authorised mortgage broker
- Embassy, Consulate **or** High Commission of the country of issue for a non UK national
- UK Legal Executive.

The individual undertaking the certification must be currently employed in the position stated and independent of the person whose document is being certified. **As well as signing, they must print their name, title, occupation and the certification date. An address should be given or an official stamp used to provide contact details if required.**

The following text should be used: 'I hereby certify this is a true copy of the original document presented to me on (date) by the named holder'. **If the document has a photo please include** 'and that it bears a true likeness of the person described therein'.

Please note that the "wet signature" of the person undertaking the certification is required on certified copy documents. Photocopies of certified copy documents will be rejected. Certified copy documents must be legible and capable of being reproduced.

SPECIAL CATEGORY DATA

In any contact we have with you it is possible that you may disclose sensitive information to us that is described as Special Category Data (SCD) under the General Data Protection Regulation. For us to be able to capture this data and then process it to make investment decisions or otherwise manage your account in your best interest we need your explicit consent. We will not specifically ask you about all the types of SCD described below but, if you think any of them are relevant to the investment decisions we make on your behalf, then it is in your interests to inform us.

What is SCD?

SCD is more sensitive personal information about an individual; for example their race or ethnic origin, political opinions, religious or philosophical beliefs, health, trade union membership, genetics and biometrics.

We will only process SCD that you tell us about and, only then, if we believe it is relevant to the services we provide to you.

What categories of SCD do we collect?

The types of SCD that we are most likely to collect from you are listed below. We only collect this information to tailor your investment portfolio to your specific requirements (if any) or to otherwise provide our services to you as agreed. We may collect:

- information about your health; and
- information that may identify your philosophical beliefs, for example if you wish to exclude investments on ethical grounds.

Why do we collect this data?

We collect this information to ensure that we provide you with an investment service that meets your specific requirements and is most appropriate for your individual circumstances.

How do we collect the data?

When we first meet you, we will carry out a full fact-find and collect personal data that may include some SCD (as described above). We may also collect SCD during any regular review meetings or when you complete one of our application forms.

Your consent

You can withdraw your consent at any time, but if you do so, we may not be able to continue to provide you with an investment service that meets your specific requirements.

AUTHORISED SIGNATORY LIST

Name of Charity:

The Trustees/Officers named below have authority to give instructions with regards to the movements of the Charity's assets to accounts in the name of the Charity including the bank account named in this form:



Full Name:

Signature:

Position:

Can accept authority: Individually Jointly



Full Name:

Signature:

Position:

Can accept authority: Individually Jointly



Full Name:

Signature:

Position:

Can accept authority: Individually Jointly



Full Name:

Signature:

Position:

Can accept authority: Individually Jointly



Full Name:

Signature:

Position:

Can accept authority: Individually Jointly



Full Name:

Signature:

Position:

Can accept authority: Individually Jointly

Please provide any restriction on authorisation:

.....

Date:

ACCEPTANCE AND SIGNATURE

This form should be signed in accordance with the authorised signatory list.

Please complete, sign and date the boxes to the right to:

- (1) confirm that you agree to this application form, our terms and conditions, risk disclosures, interest information, sundry charges information and schedule of charges;
- (2) give your consent to our order execution policy and list of execution venues, and to Quilter Cheviot (or an affiliate) effecting transactions on your behalf outside a regulated market or multilateral trading facility or organised trading facility; and
- (3) give your consent to us sending notices (such as changing our terms and conditions) electronically, such as by an email attaching a document or linking to our website.

Please tick here to confirm you have received and read the Quilter Cheviot 'Understanding Your Investment Portfolio' document.

By ticking this box you consent to us collecting, processing and storing Special Category Data about you as described in this form.

PLEASE RETURN

- This completed and signed application form
- Termination letter to existing manager, giving Quilter Cheviot authority to accept the transfer of assets
- All AML documentation as set out on pages 2 to 4
- Trustee resolution or minutes of applicable meeting confirming the appointment of Quilter Cheviot and the authority of those operating the account
- Trust instrument if an exempt charity
- Authorised signatory list
- Statement of Investment Policy

QUILTER CHEVIOT

Senator House
85 Queen Victoria Street
London EC4V 4AB

t: +44 (0)20 7150 4200
w: quiltercheviot.com

Quilter Cheviot Limited is registered in England with number 01923571, registered office at Senator House, 85 Queen Victoria Street, London, EC4V 4AB. Quilter Cheviot is a member of the London Stock Exchange and authorised and regulated by the UK Financial Conduct Authority.



Signed:

Date:

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Print name:



Signed:

Date:

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Print name:



Signed:

Date:

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Print name:



Signed:

Date:

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Print name:



LEGAL ENTITY IDENTIFIER REQUEST FORM

CHARITY



QUILTER CHEVIOT INVESTMENT MANAGEMENT

You are required to obtain a Legal Entity Identifier (LEI).

Please select one of the following options:

We already have a LEI as detailed below:

We are applying for a LEI directly or via a different firm or agency and will promptly provide Quilter Cheviot with it once received.

We would like Quilter Cheviot to apply for, and maintain, a LEI on our behalf via UnaVista. Please complete Part B.

FEES

We will charge the following fees if you ask us to apply for, and maintain, a LEI on your behalf.

| | £ | € | \$ |
|---------------------|----|-----|-----|
| Initial application | 84 | 100 | 100 |
| Annual renewal* | 72 | 86 | 86 |

*The annual renewal charge is subject to change.

DECLARATION

Please complete, sign and date to confirm your agreement to the following points:

- I understand that if the information provided in this form (including part B if applicable) is incorrect or incomplete, Quilter Cheviot may not be able to carry out any transactions, or otherwise provide its services to the above named entity;
- I will notify Quilter Cheviot promptly in writing if any of the information provided in this form becomes incorrect or incomplete;
- If the above named entity is applying for an LEI directly or via a different firm or agency, I understand that failure to provide Quilter Cheviot with an LEI may mean that Quilter Cheviot is unable to carry out any transactions, or otherwise provide its services to the above named entity;

- Quilter Cheviot shall not be responsible for the consequences of any incorrect or incomplete information being provided in this form (including part B if applicable), or if I do not notify Quilter Cheviot of any such information subsequently becoming incorrect or incomplete;
- If Quilter Cheviot has been requested to apply for a LEI on behalf of the named entity, I understand that Quilter Cheviot is reliant on UnaVista for the allocation of the LEI and that Quilter Cheviot will not be responsible for any delay or failure on the part of UnaVista in doing so;
- Where Quilter Cheviot has been requested to renew the LEI annually, I understand that Quilter Cheviot will only be responsible for doing so whilst the above named entity remains a Quilter Cheviot client; and
- Where applicable, I accept the charges detailed in this form and acknowledge that these will be debited from the named entity's Quilter Cheviot account once the application is made and on each relevant renewal date.

If there is anything in this form that you do not understand, or if you have any questions, please contact your Investment Manager.

SIGNED FOR AND ON BEHALF OF:

Authorised Signatory:

Name:

Position:

Date:

| | | |
|----|----|------|
| DD | MM | YYYY |
|----|----|------|

Please return to:

PART B:

London Stock Exchange Plc
 FAO: LEI
 Operations Department
 4th Floor
 10 Paternoster Square
 London EC4M 7LS
 UK

Re: Authorisation to apply for Legal Entity Identifier (LEI)

Dear Madam or Sir,

The below named entity is authorised to apply for an LEI on behalf of:

as well as maintain the related data (annual renewal), submit the corresponding declarations of intent in our name, and to take all necessary measures in this regard.

Requestor Entity name - Quilter Cheviot Limited
 Requestor Entity address - Senator House, 85 Queen Victoria Street, London EC4V 4AB
 Requestor contact details - Robert Russell
 Telephone: 020 7150 4182
 Email: LEI@quiltercheviot.com

Authorised Signatory:

Date:

| | | |
|----|----|------|
| DD | MM | YYYY |
|----|----|------|

For and on behalf of:

First name, last name (please print):

Email:

Telephone:

Position within organisation:

- | | |
|---|--|
| <input type="checkbox"/> Director | <input type="checkbox"/> Company Secretary |
| <input type="checkbox"/> Compliance Officer | <input type="checkbox"/> Treasurer |
| <input type="checkbox"/> Trustee | <input type="checkbox"/> Trustee's agent |